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**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
March 2020**

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**Gary L. Vawter**



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Columbus, OH 43220**

**Firm Contact:  
Gary Vawter  
Chief Compliance Officer**

**Firm Website Address:  
[www.vawterfinancial.com](http://www.vawterfinancial.com)**

This brochure supplement provides information about Gary Vawter that supplements our brochure. You should have received a copy of that brochure. Please contact our firm at (614) 451-1002 or [info@vawterfinancial.com](mailto:info@vawterfinancial.com) if you did not receive Vawter Financial, Ltd.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Gary Vawter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Item 2: Educational Background & Business Experience**

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Gary Vawter, CFP®, MS, AEP, born in 1962, has been the Principal Advisor, Chief Compliance Officer, and Owner of Vawter Financial, Ltd. since 1991. In addition, Gary has been operating as the Chief Compliance Officer since 1999. Gary received his Bachelors of Science degree in Business Administration, majoring in Finance, from The Ohio State University in 1985.

He later obtained the Certified Financial Planner™ (CFP®) certification from the College for Financial Planning in Denver, CO which required completion of coursework from a CFP Board-registered academic program, passing a CFP® Certification Examination, and three years of full-time work experience applying financial planning knowledge to client situations. The CFP® certification requires agreement to adhere to the CFP Board's Code of Code of Ethics and Professional Responsibility, Rules of Conduct and Financial Planning Practice Standards, and acknowledge the CFP Board's right to enforce them through its Disciplinary Rules and Procedures. Thirty hours of continuing education credits every two years are required to renew this certification.

Gary received his Master of Science (MS) degree with the specialty of retirement planning from the College for Financial Planning in 1993.

He earned the Accredited Estate Planner® (AEP®) designation as well as a certificate in Estate Planning and Taxation in 2012. The AEP® designation is awarded by the National Association of Estate Planners & Councils (NAEPC) to recognized estate planning professionals who meet special requirements of education, experience, knowledge, professional reputation, and character. A minimum of five years of experience engaged in estate planning and estate planning activities is required to apply for this designation, as well as completion of coursework and passing an examination. Applicant's sign a declaration statement agreeing to continuously abide by the NAEPC Code of Ethics, and must complete thirty hours of continuing education every two years, fifteen of which must be focused on estate planning to maintain the designation.

Gary earned the Chartered Financial Consultant (ChFC®) designation, which is obtained by completing 6 core, 2 elective courses and a final exam for each course. As a prerequisite the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. This designation requires 30 hours of continuing education every 2 years.

Gary also holds the Series 6, 7, 25, 53, and 62 licenses.

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## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to the evaluation of Gary Vawter.

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## **Item 4: Other Business Activities**

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Gary Vawter does not have any outside business activities to disclose.

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### **Item 5: Additional Compensation**

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Gary Vawter does not receive any other economic benefit for providing advisory services in addition to advisory fees.

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### **Item 6: Supervision**

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Gary Vawter is the sole principal and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.