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**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
April 2024**

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**William Whitaker**



**1161 Bethel Road  
Columbus, OH 43220**

**Firm Contact:  
Jacqueline Washburn  
Chief Compliance Officer**

**Firm Website Address:  
[www.vawterfinancial.com](http://www.vawterfinancial.com)**

This brochure supplement provides information about William Whitaker that supplements our brochure. You should have received a copy of that brochure. Please contact our firm at (614) 451-1002 or [info@vawterfinancial.com](mailto:info@vawterfinancial.com) if you did not receive Vawter Financial, Ltd.'s brochure or if you have any questions about the contents of this supplement.

Additional information about William Whitaker is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **Item 2: Educational Background & Business Experience**

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William Whitaker, CFP®, born in 1981, has been providing investment and financial planning advice to individuals with Vawter Financial, Ltd. since April of 2017.

William received his Bachelor of Science degree, majoring in Family Resource Management, from the Ohio State University in 2005.

William worked for ten years as a financial planner at Summit Financial Strategies from December of 2006 until December of 2016.

In March of 2006, William obtained the Certified Financial Planner™ (CFP®) certification from the College for Financial Planning in Denver, CO which required completion of coursework from a CFP Board-registered academic program, passing a CFP® Certification Examination, and three years of full-time work experience applying financial planning knowledge to client situations. The CFP® certification requires agreement to adhere to the CFP Board's Code of Ethics and Professional Responsibility, Rules of Conduct and Financial Planning Practice Standards, and acknowledge the CFP Board's right to enforce them through its Disciplinary Rules and Procedures. Thirty hours of continuing education credits every two years are required to renew this certification.

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## **Item 3: Disciplinary Information**

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There are no legal or disciplinary events material to the evaluation of William Whitaker.

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## **Item 4: Other Business Activities**

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William Whitaker serves as an adjunct faculty member for Franklin University in Columbus, Ohio since 2011. Outside of market hours, William spends approximately 10 hours per month teaching financial planning undergraduate classes. There is no conflict of interest between this activity and our firm.

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## **Item 5: Additional Compensation**

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William Whitaker receives a bonus once a new client is brought onto the firm. This bonus is in addition to his current compensation with the firm. This creates a conflict of interest whereby William Whitaker is incentivized to bring more clients to the firm to receive higher compensation. While this is the case, William Whitaker will continue to act in the client's best interest.

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## **Item 6: Supervision**

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Jacqueline Washburn, Chief Compliance Officer of Vawter Financial, Ltd., supervises and monitors William Whitaker's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Jacqueline Washburn if you have any questions about William Whitaker's brochure supplement at (614) 451-1002.