# Item 1: Cover Page for Part 2B of Form ADV: Brochure Supplement April 2024

# Katrina M. Moore



1161 Bethel Road Columbus, OH 43220

Firm Contact: Jacqueline Washburn Chief Compliance Officer

Firm Website Address: www.vawterfinancial.com

This brochure supplement provides information about Katrina Moore that supplements our brochure. You should have received a copy of that brochure. Please contact our firm at (614) 451-1002 or <a href="mailto:info@vawterfinancial.com">info@vawterfinancial.com</a> if you did not receive Vawter Financial, Ltd.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Katrina Moore is available on the SEC's website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>.

## **Item 2: Educational Background & Business Experience**

Katrina Moore, CFP®, born in 1984, assists investment advisors with the clients at our firm since 2016. She has been providing investment and financial planning advice to individuals with Vawter Financial, Ltd since March of 2020.

Katrina received her Bachelor of Science degrees, majoring in Consumer & Family Financial Services, from the Ohio State University in 2016 and majoring in Microbiology & Genetics from the Michigan State University in 2007.

Katrina worked for four months as an intern at Summit Financial Strategies from August 2015 to December 2015.

Katrina worked as a lab services coordinator at the Ohio State University from June 2008 to September 2016.

In October of 2018, Katrina obtained the Certified Financial Planner™ (CFP®) certification by completing an advanced college-level course which required completion of coursework from a CFP Board-registered academic program, passing a CFP® Certification Examination, and three years of full-time work experience applying financial planning knowledge to client situations. The CFP® certification requires agreement to adhere to the CFP Board's Code of Code of Ethics and Professional Responsibility, Rules of Conduct and Financial Planning Practice Standards, and acknowledge the CFP Board's right to enforce them through its Disciplinary Rules and Procedures. Thirty hours of continuing education credits every two years are required to renew this certification.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Katrina Moore.

### **Item 4: Other Business Activities**

Katrina Moore does not have any outside business activities to disclose.

### **Item 5: Additional Compensation**

Katrina Moore receives a bonus once a new client is brought onto the firm. This bonus is in addition to her current compensation with the firm. This creates a conflict of interest whereby Katrina Moore is incentivized to bring more clients to the firm to receive higher compensation. While this is the case, Katrina Moore will continue to act in the client's best interest.

### **Item 6: Supervision**

Jacqueline Washburn, Chief Compliance Officer of Vawter Financial, Ltd., supervises and monitors Katrina Moore's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Jacqueline Washburn if you have any questions about Katrina Moore's brochure supplement at (614) 451-1002.